

# On List-Coloring Outerplanar Graphs

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# Agenda

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1. INTRODUCTION
2. LIST-COLORING BIPARTITE OUTERPLANAR GRAPHS
3. LIST-COLORING GENERAL OUTERPLANAR GRAPHS
4. SUMMARY


# INTRODUCTION

# List-coloring definitions

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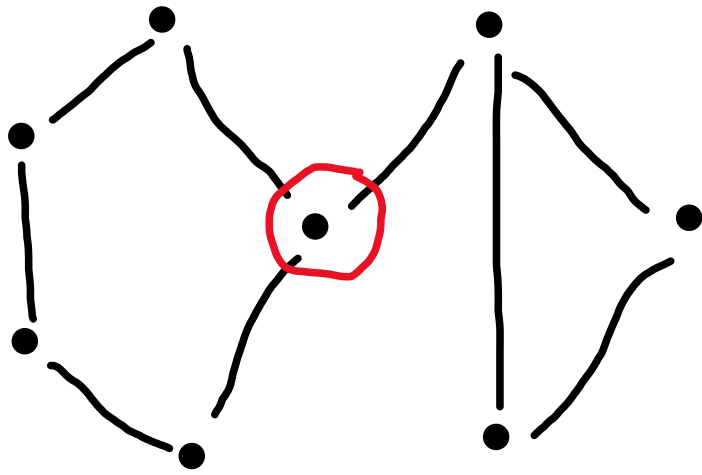
Suppose each vertex  $v$  of a graph  $G$  has list of colors  $L(v)$ . Then  $G$  is said to be **L-list-colorable** when it there exists a coloring in which each vertex  $v$  has assigned to a color from its list  $L(v)$ . Adjacent vertices cannot get the same color (as in usual coloring).

If each list of  $L$  has at least  $k$  colors and  $G$  is  $L$ -list-colorable, then  $G$  is said to be **k-list-colorable** or **k-choosable**. The minimum  $k$  for which  $G$  is  $k$ -choosable is called its **choice number** or **list-chromatic number**.

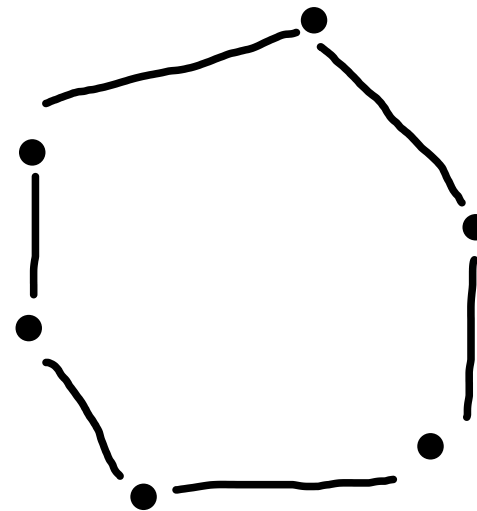


# Connectivity definitions

Graph is called **2-connected** if it contains no vertex whose removal leaves a graph disconnected.



1-connected graph

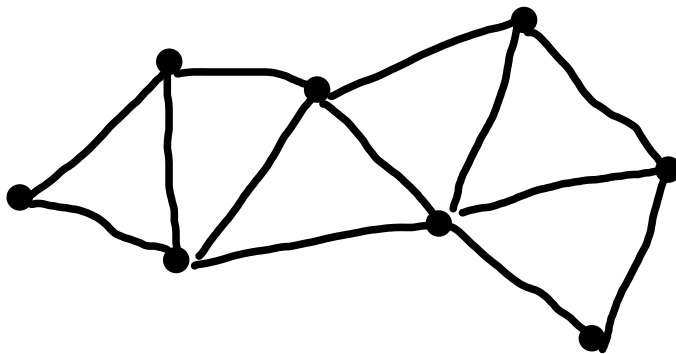


2-connected graph

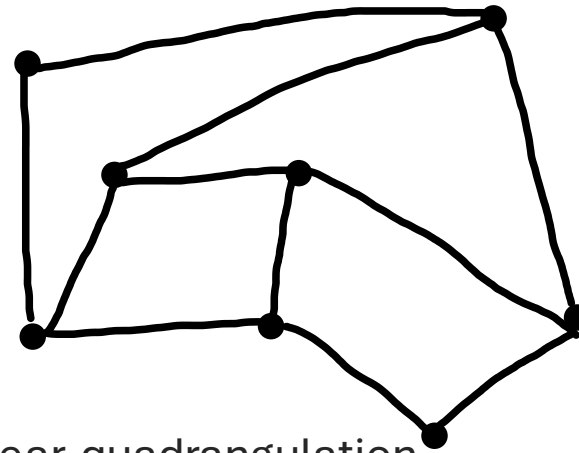


# Planarity definitions

A **near-triangulation** (respectively, **near-quadrangulation**) is a plane graph in which all finite faces are triangles (resp., quadrilaterals).



Near-triangulation



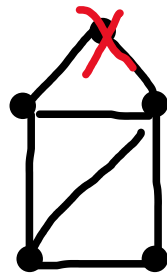
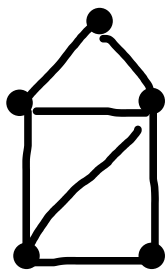
Near-quadrangulation

# Complete graphs notation

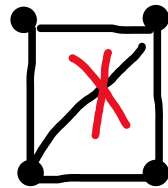
$K_n$  - complete graph on  $n$  vertices

$K_{m,n}$  - complete bipartite graph on  $m + n$  vertices

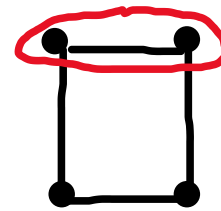
A graph is said to be  **$K_n$ -minor-free** if it cannot be transformed into  $K_n$  by deleting vertices and edges and by contracting pairs of adjacent vertices.



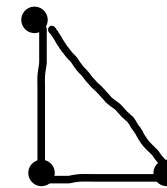
Vertex deletion



Edge deletion



Contraction



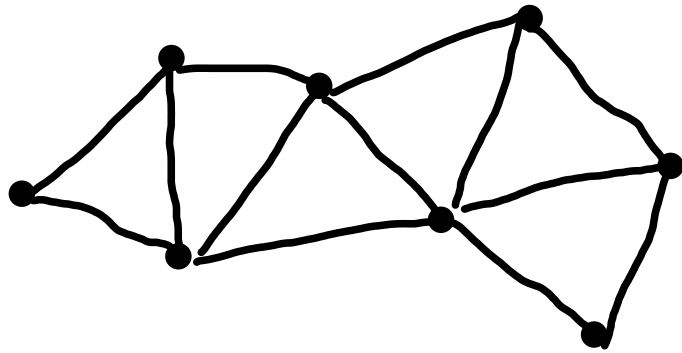
$K_3$



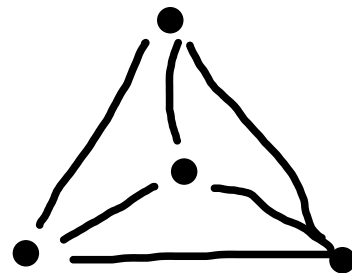
# Outerplanarity definitions

A graph is called **outerplanar** if all vertices are external.

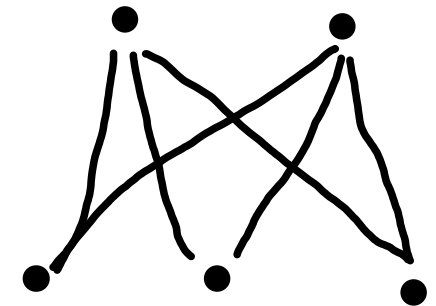
Known fact: Graphs is outerplanar iff is  $K_4$ -minor-free and  $K_{2,3}$ -minor-free.



Outerplanar graph



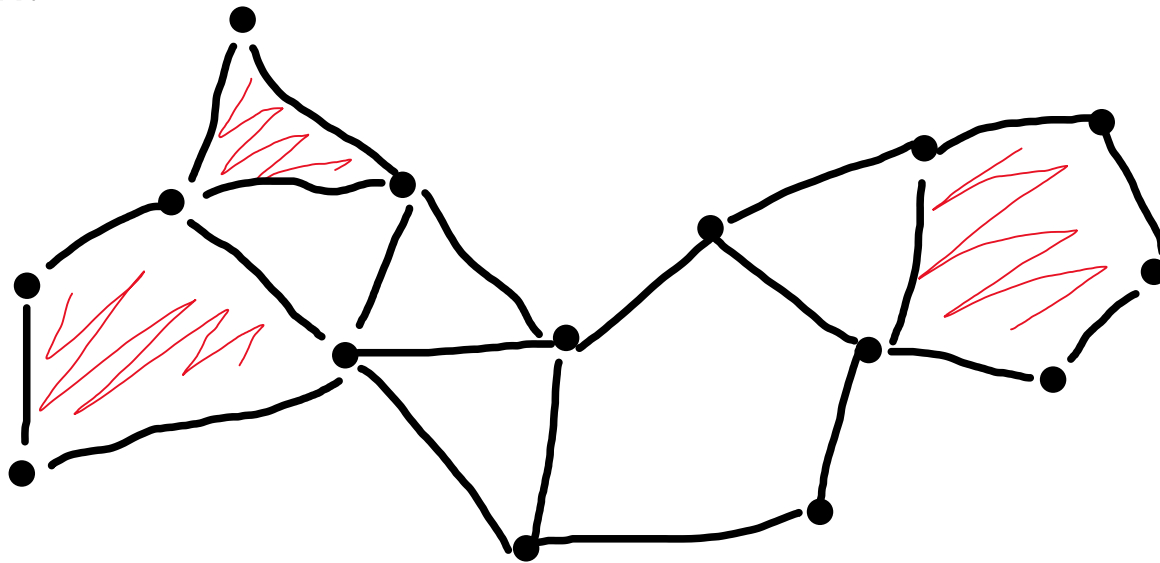
$K_4$  - the smallest planar graph that is not outerplanar



$K_{2,3}$  - not outerplanar graph

# Outerplanarity definitions

An **ear** is a finite face incident along an edge with just one other finite face of the graph.



The red faces are ears

# LIST-COLORING BIPARTITE OUTERPLANAR GRAPHS

# Main Theorem for bipartite outerplanar

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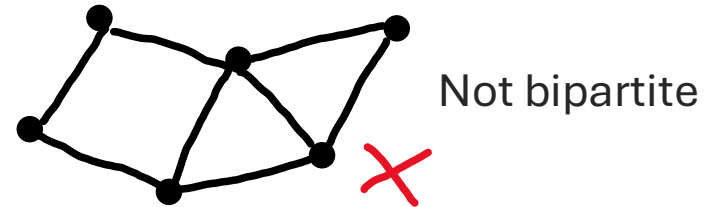
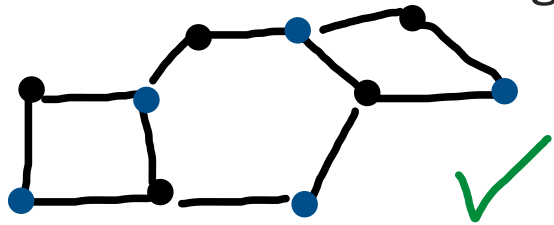
## **Theorem 1.**

Let  $G$  be a 2-connected, outerplanar bipartite graph. If  $|L(v)| \geq \min\{\deg(v), 4\}$  for each vertex  $v$ , then  $G$  is  $L$ -list-colorable.

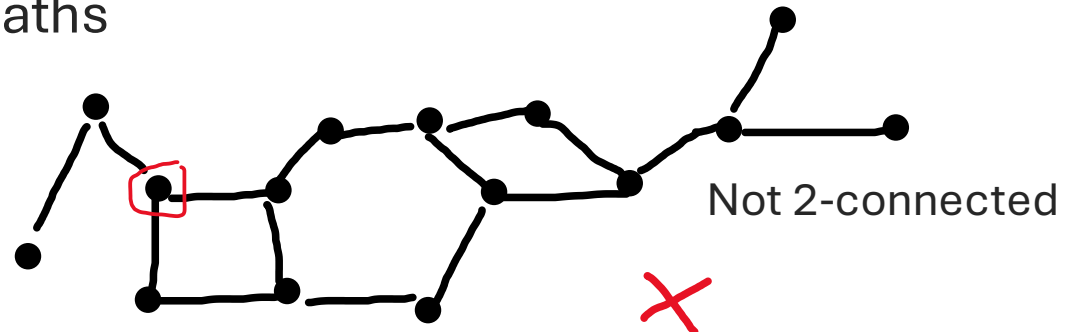
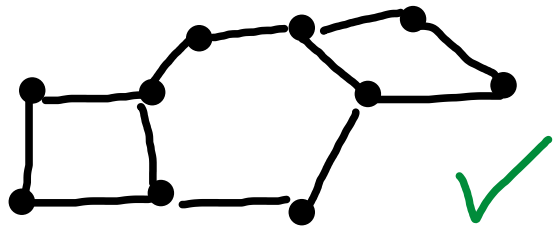
# Sketch of the proof theorem 1

Observations:

- Each internal face of the graph is limited by a cycle of even length



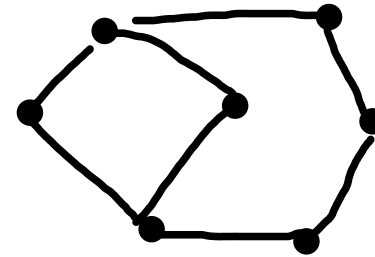
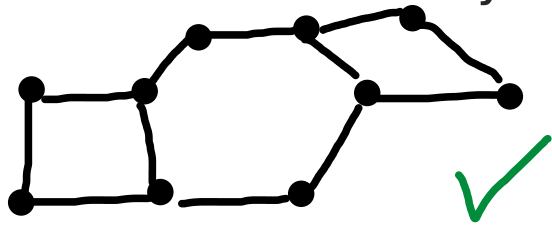
- Graph consists only of cycles, no paths



# Sketch of the proof theorem 1

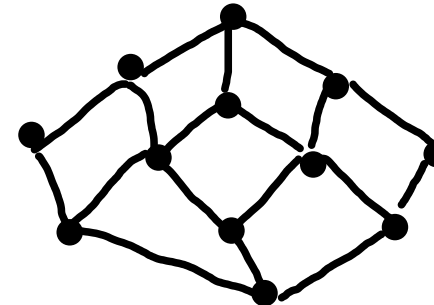
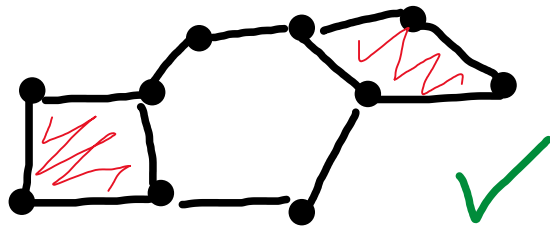
Observations:

- Adjacent faces has only one edge in common



Not outerplanar

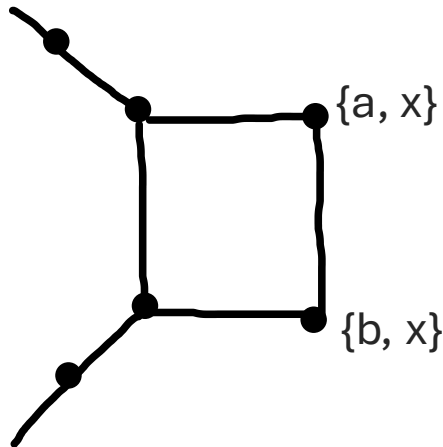
- Graph contains an ear



Not outerplanar

# Sketch of the proof theorem 1

The ear of the graph can be reduced by adding **forbidden coloring** to the internal edge. **Forbidden coloring** -  $f(u, v) = (c, d)$  means that  $c$  (respectively,  $d$ ) is a color forbidden at  $u$  (resp.,  $v$ ).



Forbidden coloring for edge:  
 $f(e) = (a, b)$

# Sketch of the proof theorem 1

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Proof by induction on number of internal faces  $|f|$

- If  $|f| = 1$

Even-length cycle

- If  $|f| > 2$

$G$  contains an ear, which is a  $2i$ -sided face,  $i \geq 2$ ,  $(v_1, v_2, \dots, v_{2i})$ . Remove the path of vertices  $P = v_2, v_3, \dots, v_{2i-1}$  (of at least two vertices) to form  $G'$  with forbidden coloring and invoke the inductive assumption.



# Theorem 1 – all conditions are needed

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## Theorem 1.

Let  $G$  be a

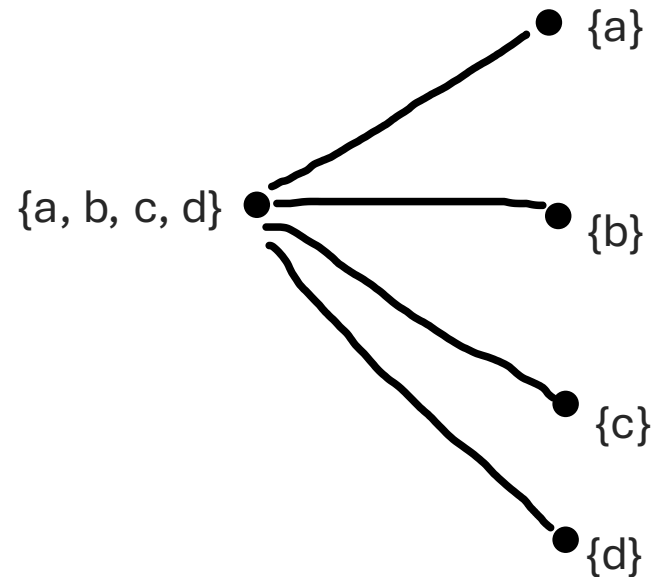
- 2-connected,
- outerplanar,
- bipartite graph,
- $|L(v)| \geq \min\{\deg(v), 4\}$  for each vertex  $v$

then  $G$  is  $L$ -list-colorable.

# Theorem 1

## "2-connected" condition

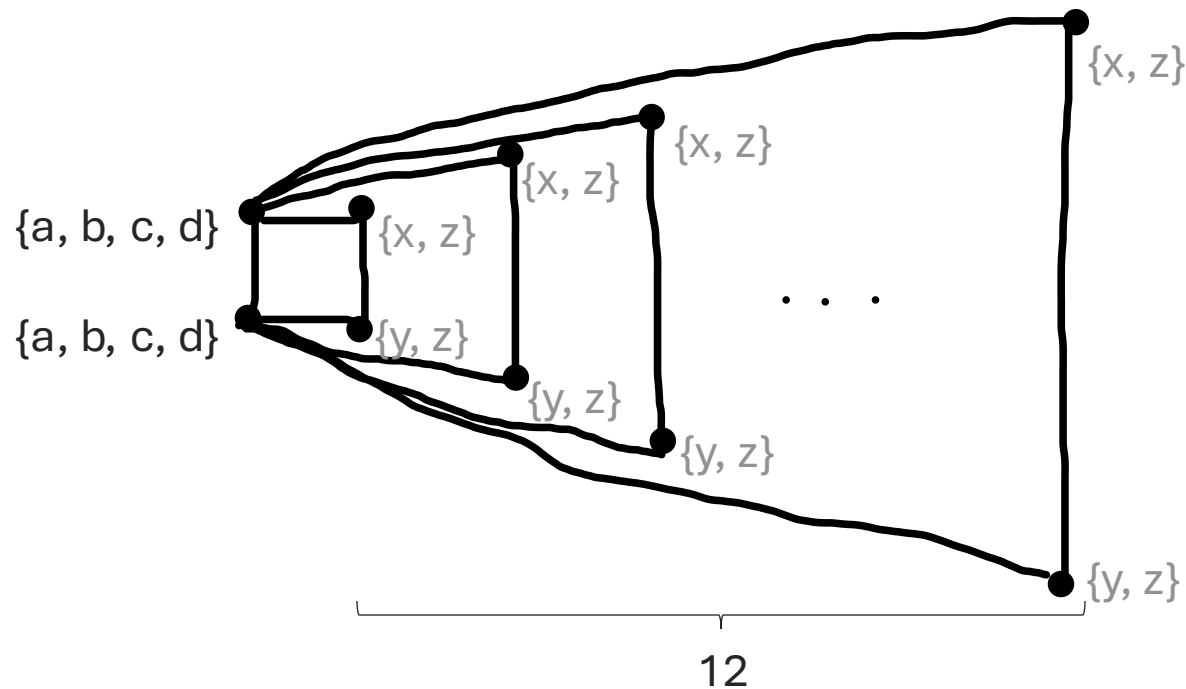
Example of 1-connected, outerplanar bipartite graph with  $|L(v)| \geq \min\{\deg(v), 4\}$ .



# Theorem 1

## "outerplanar" condition

Example of 2-connected,  $K_4$ -minor-free, bipartite graph with  $|L(v)| \geq \min\{\deg(v), 4\}$ .



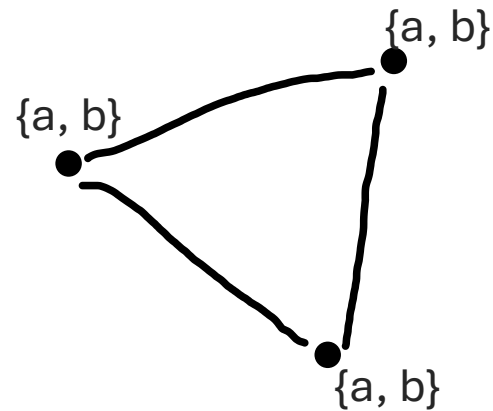
Twelve 4-cycles  $C_4$  with common edge

$x$  and  $y$  ( $x \neq y$ ) varies over all 12 ordered pairs taken from  $\{a, b, c, d\}$ .

# Theorem 1

## "bipartite" condition

Example of 2-connected, outerplanar graph with  $|L(v)| \geq \min\{\deg(v), 4\}$ .

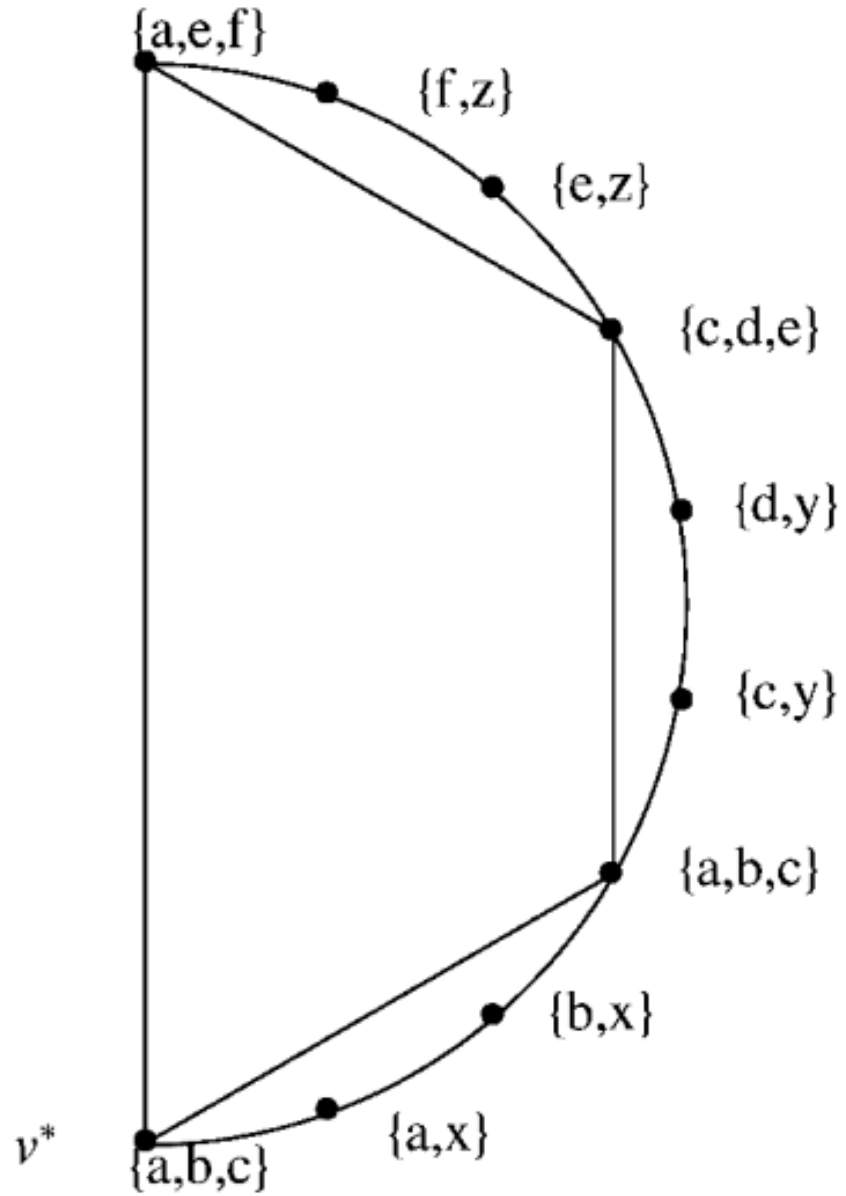


# Theorem 1

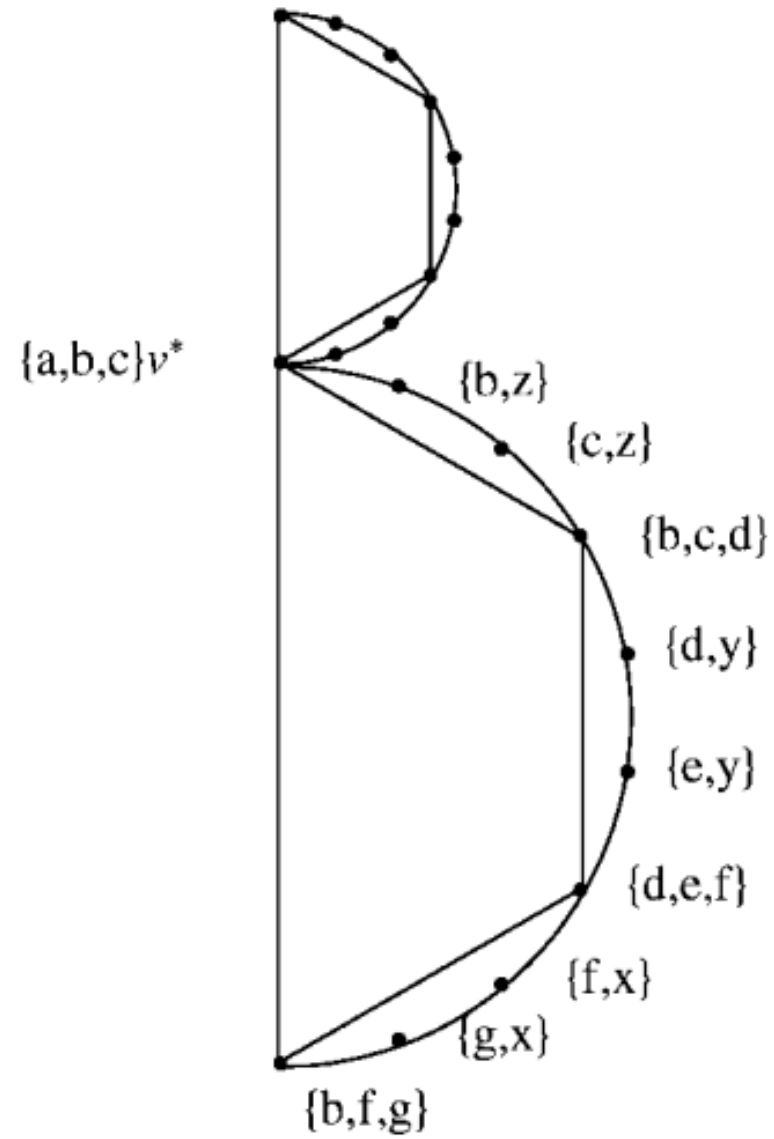
" $|L(v)| \geq \min\{\deg(v), 4\}$ " condition

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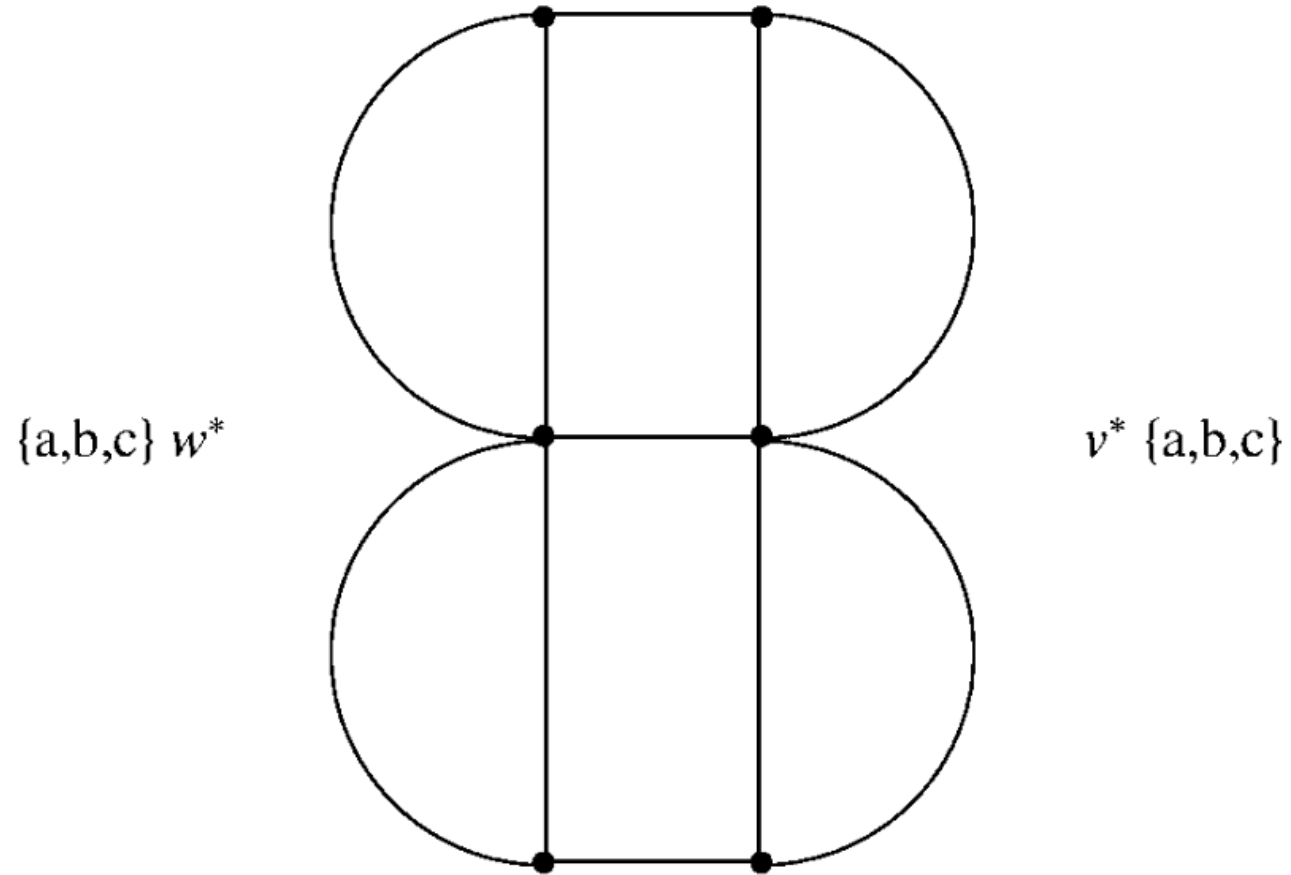
Example of 2-connected, outerplanar, bipartite graph with  $|L(v)| = \min\{\deg(v), 3\}$ .



$v^*$  cannot be colored by "a"



$v^*$  cannot be colored also by "b"



Both  $v^*$  and  $w^*$  should be in "c" color



# LIST-COLORING GENERAL OUTERPLANAR GRAPHS



# Main Theorem for general outerplanar

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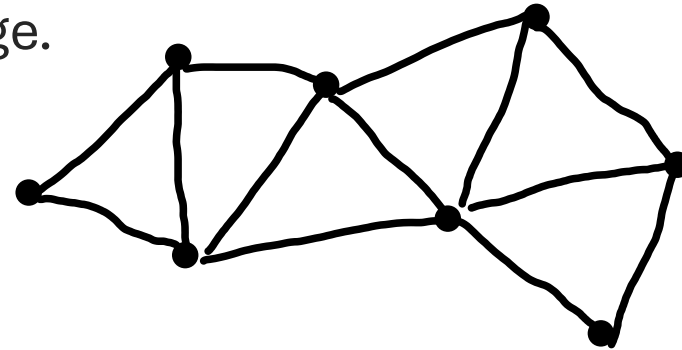
## Theorem 3

If the graph is a 2-connected, outerplanar near-triangulation (or equivalently, edge-maximal outerplanar) and satisfies  $|L(v)| \geq \min\{\deg(v), 5\}$  for every vertex  $v$ , then the graph is  $L$ -list-colorable except for  $K_3$  with three identical 2-lists.

# Sketch of the proof theorem 3

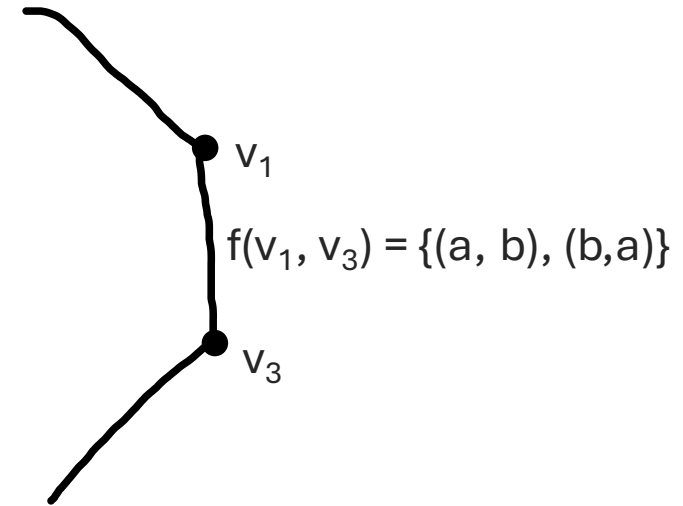
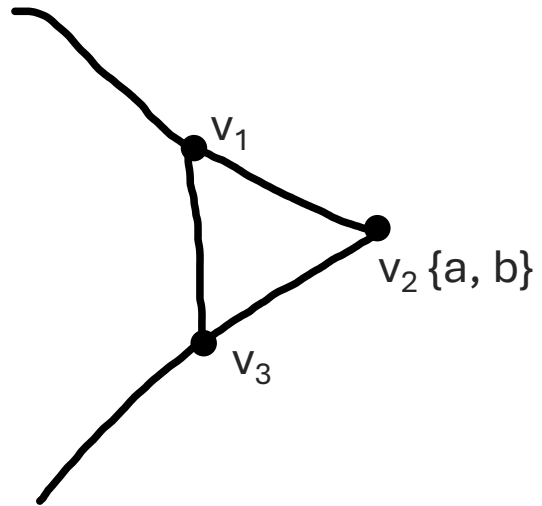
Observation:

- Each internal face of the graph is limited by a triangle.
- Adjacent triangles has only one common edge.
- Graph contains triangle ear.



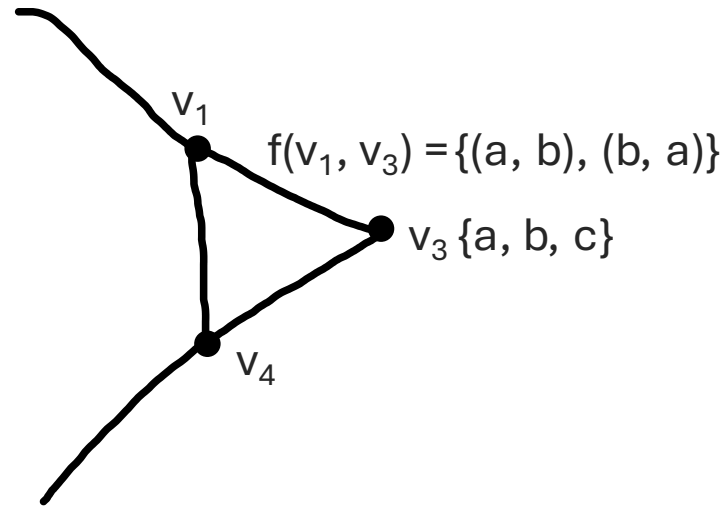
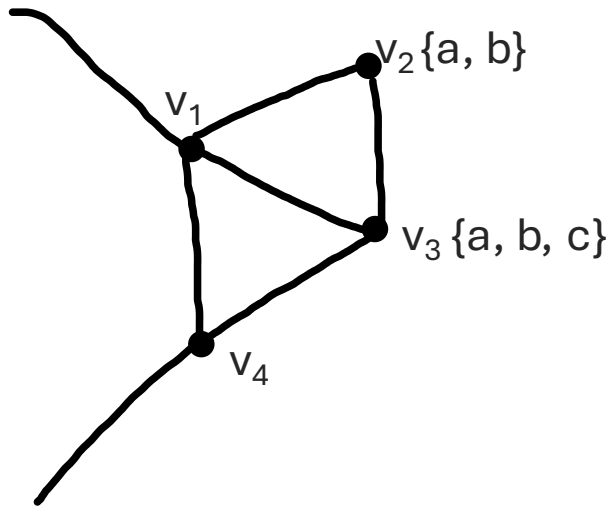
Sample graph which meets the assumptions of the Theorem 3

# Sketch of the proof theorem 3

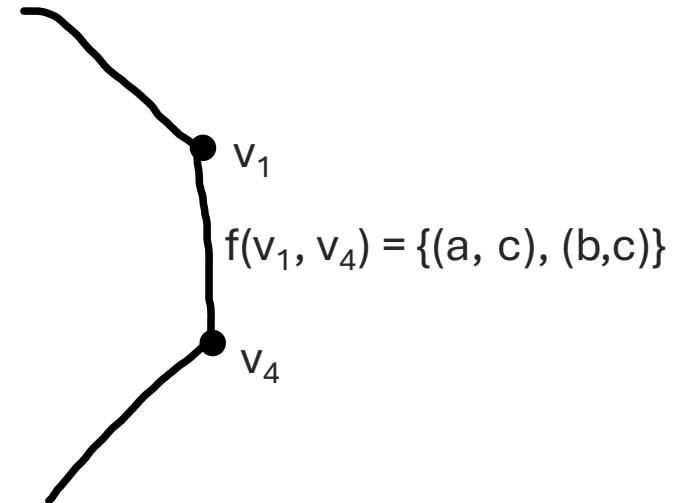


Triangle ear elimination

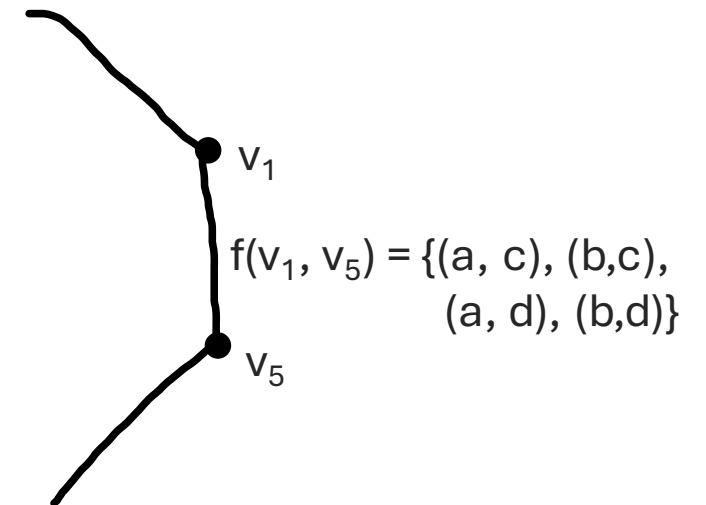
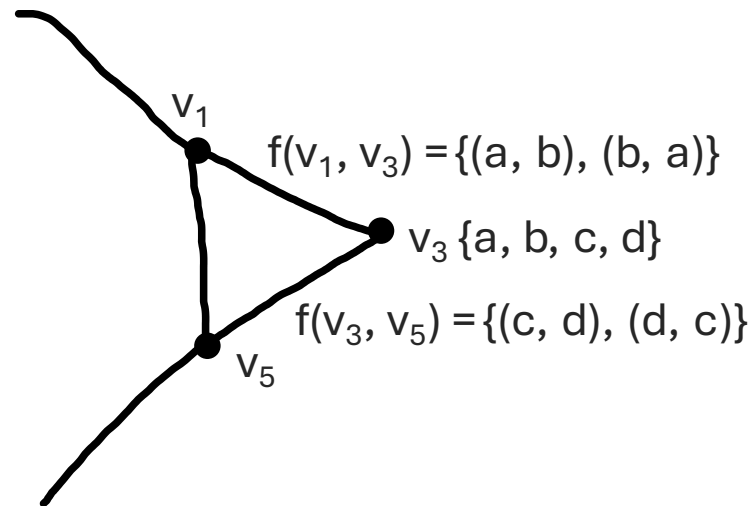
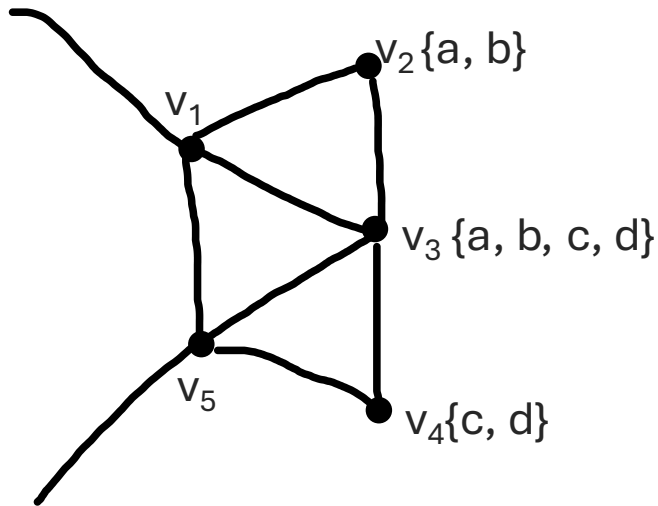
# Sketch of the proof theorem 3



Two triangle ears elimination



# Sketch of the proof theorem 3



Three triangle ears elliminaton

# Sketch of the proof theorem 3

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Proof by induction on number of vertices

- If  $|V| = 3$

Triangle with possibly multiple forbidden colorings on edges.

- If  $|V| > 2$

$G$  contains an triangle ear. Eliminate ear, add forbidden colorings to new external edge and invoke inductive assumption.

# Theorem 3 – all conditions are needed

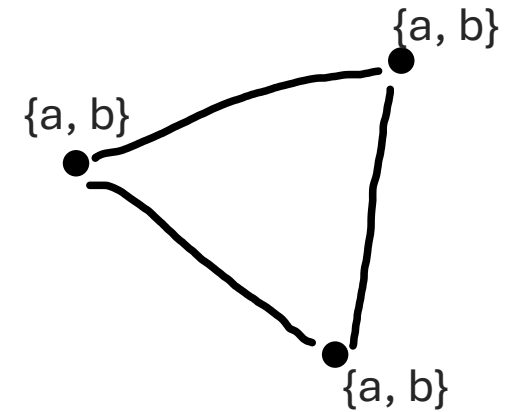
## Theorem 3

If the graph is

- 2-connected,
- outerplanar,
- near-triangulation
- satisfies  $|L(v)| \geq \min\{\deg(v), 5\}$  for every vertex  $v$ ,

then the graph is L-list-colorable.

Exception -  $K_3$  with three identical 2-lists.

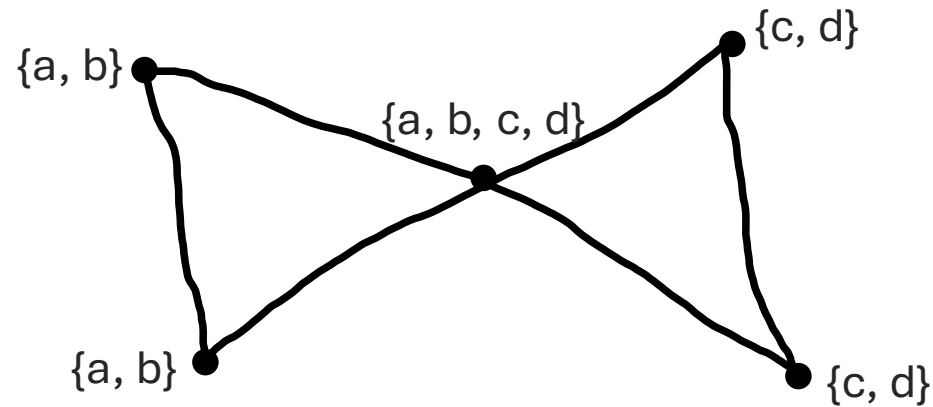


Exception -  $K_3$

# Theorem 3

## "2-connected" condition

Example of 1-connected, outerplanar, near-triangulation graph with  $|L(v)| \geq \min\{\deg(v), 5\}$ .

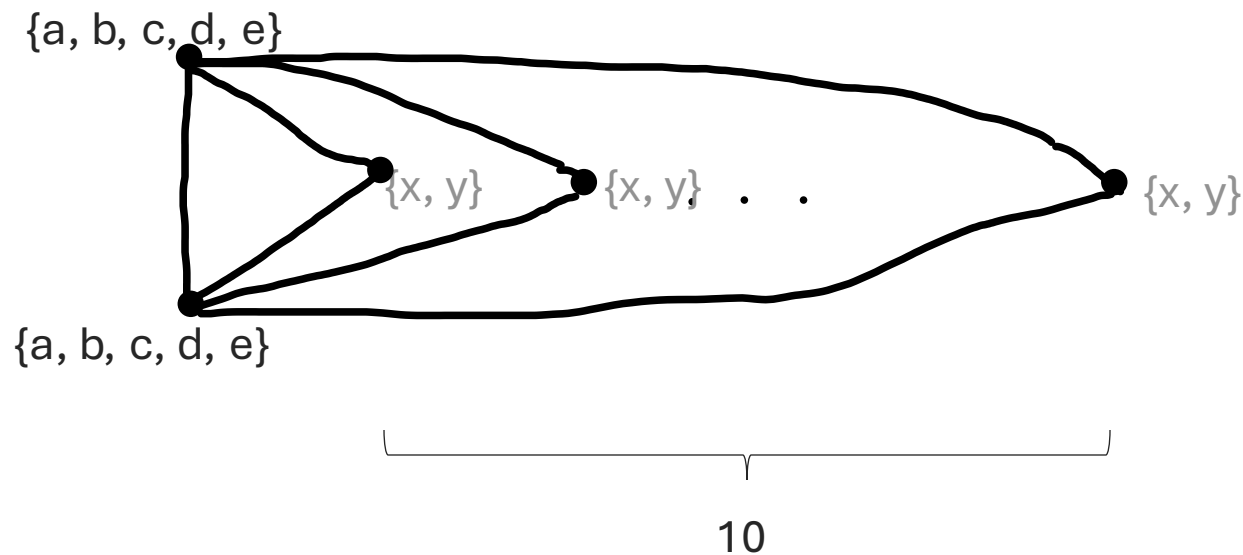




# Theorem 3

## "outerplanar" condition

Example of 2-connected,  $K_4$ -minor-free, near-triangulation graph with  $|L(v)| \geq \min\{\deg(v), 5\}$ .



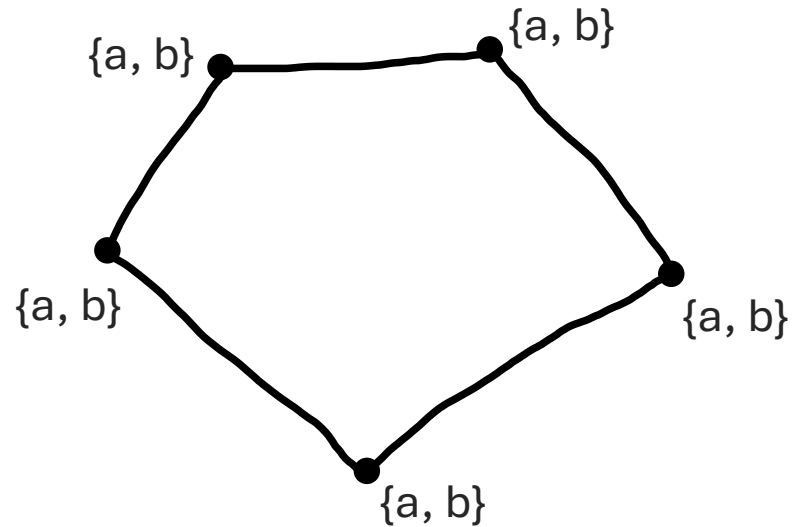
Ten 3-cycles  $C_3$  with common edge

$x$  and  $y$  ( $x \neq y$ ) varies over all 10 unordered pairs taken from  $\{a, b, c, d, e\}$ .

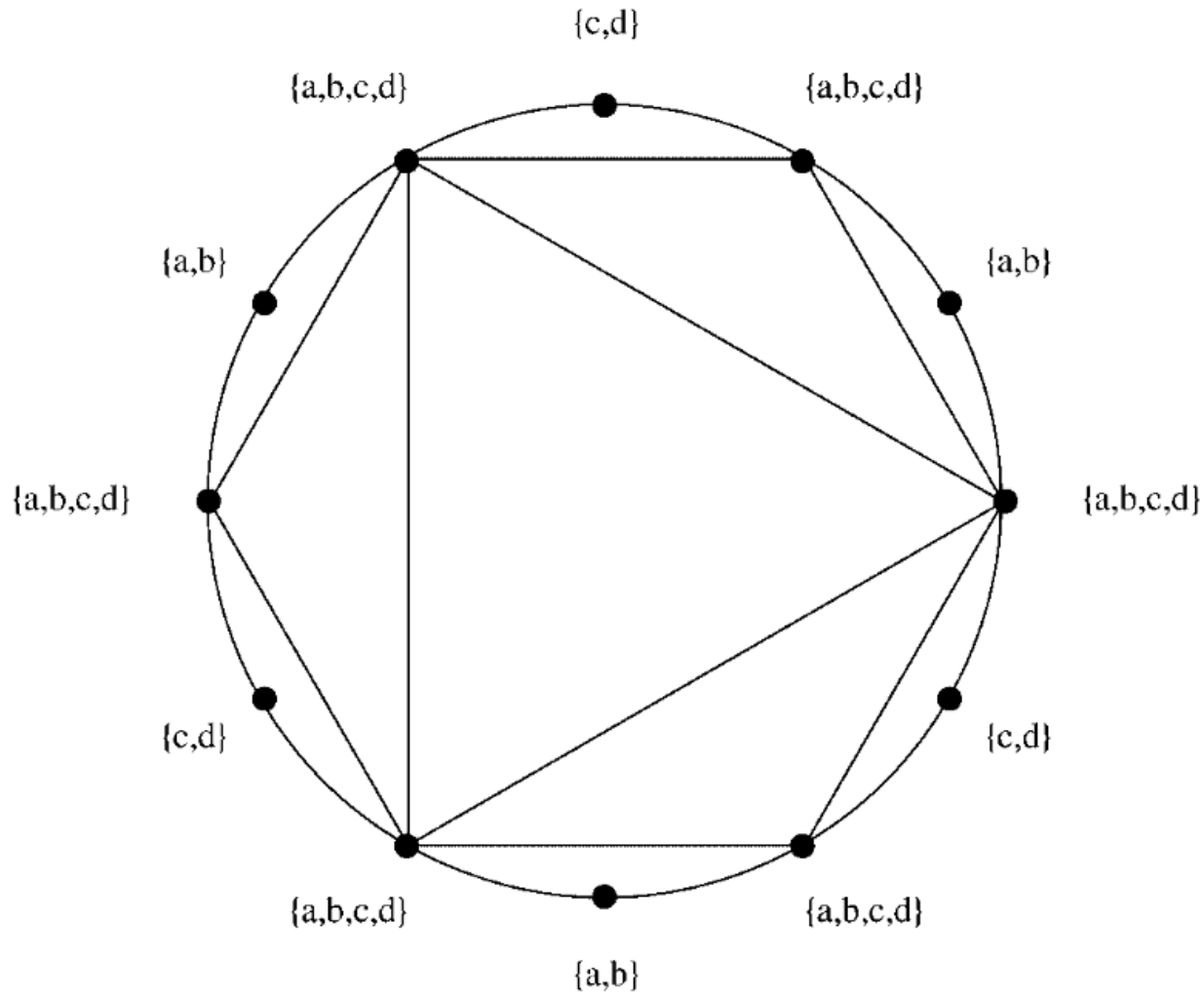
# Theorem 3

## "near-triangulation" condition

Example of 2-connected, outerplanar graph with  $|L(v)| \geq \min\{\deg(v), 5\}$ .



Picture by Joan P. Hutchinson



### Theorem 3

" $|L(v)| \geq \min\{\deg(v), 5\}$ "  
condition

Example of 2-connected, outerplanar,  
near-triangulation graph with  
 $|L(v)| = \min\{\deg(v), 4\}$ .

# SUMMARY

# References

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Hutchinson, J.P. (2008), On list-coloring outerplanar graphs. *J. Graph Theory*, 59: 59-74. <https://doi.org/10.1002/jgt.20326>